Subject:
Grant Application for Federal Assistance to the Federal Aviation Administration (FAA) for Terminal Apron Hardstand Expansion Phase 2 – Design, Construction, and Construction Phase Services at the St. Pete-Clearwater International Airport.

Department: St. Pete-Clearwater International Airport
Staff Member Responsible: Thomas R. Jewsbury, Director

Recommended Action:
I RECOMMEND THE BOARD OF COUNTY COMMISSIONERS (BOARD) APPROVE THE GRANT APPLICATION FOR FEDERAL ASSISTANCE TO THE FAA REQUESTING $5,745,002 IN GRANT FUNDS FOR THE TERMINAL APRON HARDSTAND EXPANSION PHASE 2.

AUTHORITY FOR THE CHAIRMAN TO EXECUTE AND THE CLERK TO ATTEST THE GRANT AGREEMENT WHEN RECEIVED IS ALSO REQUESTED

Summary Explanation/Background:
The grant Application for Federal Assistance is requesting $5,745,002 in grant funds for the Design, Construction, and Construction Phase Services for the Terminal Apron Hardstand Expansion Phase 2 at the Airport. Budgeted in the Airport’s Capital Improvement Program is the Terminal Apron Hardstand Expansion Phase 2 project. The FAA has approved the allocation of $5,745,002 under the 2015 Airport Improvement Program for the funding of this project at the Airport as documented and substantiated by the US Department of Transportation and FAA Orlando Airports District Office. The application provides 90% funding by the FAA (less design and construction contingencies).

The plans and specifications call for the demolition, removal and replacement of the existing pavements on the air carrier apron. The reconstruction of the aircraft parking areas will improve safety and preserve Airport infrastructure by providing a longer life pavement. The existing pavements are a combination of bituminous asphalt and Portland Cement Concrete (PCC) and are currently exhibiting various types of pavement distress. All aircraft parking areas will be entirely PCC, which has a longer life cycle. Upon completion of this project, the apron hardstands will provide sufficient strength to support the commercial aircraft using the facility.

The Grant Agreement is expected to be received from the FAA in July 2015, and typically requires that the Agreement be executed within 10 days of issuance. Due to the time constraints, approval is also requested for the Chairman to execute the Grant Agreement and the Clerk to Attest.
**Fiscal Impact/Cost/Revenue Summary:**

Summary of Estimated Funding:
- FAA Share $5,745,002 (85.2%)
- State FDOT Share $ 180,000 ( 2.6%)
- Airport Reserves $ 820,773 (12.2%)
- Total Project Cost $6,745,775

Funds are budgeted in future Passenger Facility Charge collections.

**Exhibits/Attachments Attached:**
- Contract Review Transmittal Slip
- Application for Federal Assistance SF-424 w/Attachments
- Assurances Airport Sponsors
- Bid Recommendation and Tabulation
- Exhibit A - Project Schedule
- Exhibit B - Project Sketch
- FDOT and FAA Grant Confirmation
NON-PURCHASING CONTRACT REVIEW TRANSMITTAL SLIP

PROJECT: Application for Federal Assistance – FAA Terminal Apron Hardstand

CONTRACT NO.: 3-12-0075-041-2015

ESTIMATED EXPENDITURE / REVENUE: amount (Circle or underline appropriate choice above.)

In accordance with Contract Administration and its Review Process, the attached documents are submitted for your review and comment. Please complete this Non-Purchasing Contract Review Transmittal Slip below with your assessment, and forward to the next Review Authority on the list, skipping any authority marked “N/A.” Indicate suggested changes by noting those in “Comments” column, or by revising, in RED, the appropriate section(s) of the document(s) to reflect the exact wording of the desired change(s).

OTHER SPECIFICS RELATING TO THE CONTRACT:

Application for Federal Assistance to the FAA requesting $5,691,470 in Grant Funds for the Terminal Apron Hardstand Expansion Phase 2 Design, Construction, and Construction Phase Services for the Airport

<table>
<thead>
<tr>
<th>REVIEW SEQUENCE</th>
<th>DATE</th>
<th>INITIAL/SIGNATURE</th>
<th>COMMENTS (IF ANY)</th>
<th>COMMENTS REVIEWED &amp; Addressed OR Incorporated</th>
</tr>
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<tbody>
<tr>
<td>Originator:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tom Jewsbury</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Finance:**</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cassandra Williams</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>OMB:**</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bill Berger/Kathleen Burbridge</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Risk Mgmt:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Virginia Holscher</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Legal:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Michael Zas</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Assistant County Administrator:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>David Scott</td>
<td></td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

Please return to Tom Jewsbury by June 15, 2015.
All inquiries should be made to Tom Jewsbury ext. 37801.

** See Contract Review Process

Revised 4.2015
**OMB Contract Review**

FAA – Airport Improvement Program Grant Application  
#47322 (Revised) 
n/a

Mark all Applicable Boxes:

<table>
<thead>
<tr>
<th>Type of Contract</th>
<th>CIP</th>
<th>Grant</th>
<th>Other</th>
<th>X</th>
<th>Revenue</th>
<th>X</th>
<th>Project</th>
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Contract information:

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<th>Y</th>
<th>Original Contract Amount</th>
<th>n/a</th>
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<td>4001</td>
<td>Amount of Change</td>
<td>n/a</td>
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<tr>
<td>Cost Center(s)</td>
<td>100200</td>
<td>Application Amount</td>
<td>$5,745,002</td>
</tr>
<tr>
<td>Program(s)</td>
<td>2049</td>
<td>Project Amount</td>
<td>Total: $6,745,774</td>
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<td>Account(s)</td>
<td>3895410</td>
<td>Included in Applicable</td>
<td>Y</td>
</tr>
<tr>
<td>Fiscal Year(s)</td>
<td>FY15/FY16</td>
<td>Budget? (Y/N)</td>
<td></td>
</tr>
</tbody>
</table>

**Description & Comments**

(What is it, any issues found, is there a financial impact to current/next FY, does this contract vary from previous FY, etc.)

This is a Federal Aviation Administration (FAA) grant application for $5,745,002, for the Terminal Apron Hardstand Expansion Phase 2 (CIP 000029A). The project will result in the reconstruction of the aircraft apron parking areas. Since the current pavement is showing distress, replacement with a more substantial concrete will improve safety and provide a longer surface life. Total project cost is $6,745,774. Bid information is attached to the agenda item.

Attached by the Analyst is the CIP Project Budget Detail Report for FY16.

**Source of Funding for the Project:**

<table>
<thead>
<tr>
<th>Agency</th>
<th>Program</th>
<th>Amount</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal Aviation Administration</td>
<td>Airport Improvement Program (AIP)</td>
<td>$5,745,002</td>
<td>Application</td>
</tr>
<tr>
<td>Florida Department of Transportation</td>
<td>Joint Participation Agreement</td>
<td>$180,000</td>
<td>Agreement: ARN32</td>
</tr>
<tr>
<td>Passenger Facility Charges</td>
<td>Joint Participation Agreement</td>
<td>$180,000</td>
<td>Match to ARN32</td>
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<tr>
<td></td>
<td>AIRPORT Improvement Program (AIP)</td>
<td>$364,584</td>
<td>Application</td>
</tr>
<tr>
<td></td>
<td>Necessary Items</td>
<td>$276,188</td>
<td>Not covered by AIP</td>
</tr>
</tbody>
</table>

**Comments:**

- In the Summary of Explanation/Background, please show all the numbers so total amounts shown under fiscal impact can be determined without too much trouble:
  - FAA (88.8%) = $5,745,002;
  - Non-FAA (11.2%) = $724,584;
    - FDOT JPA (2.8%) + PFC IPA Match (2.8%) + AIP Match (5.6%) = $180,000 + $180,000 + $364,584 = $724,584 Non-Federal Match;
  - Not-Covered by the grant $276,188.

Total PFC Charges = $180,000 + $364,584 + $276,188 = $820,772.
My calculated percentages are slightly different than what is shown on the second page of the reviewed memo—see below.

**Summary of the Estimated Funding**

<table>
<thead>
<tr>
<th></th>
<th>FAA Share</th>
<th>State FDOT Share</th>
<th>Airport Share (Passenger Facility Charges)</th>
<th>Total FAA Project Amount</th>
<th>Non-eligible AIP Items &amp; Contingencies</th>
<th>Total Project Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$5,745,002</td>
<td>$180,000</td>
<td>$544,584</td>
<td>$6,469,586</td>
<td>$276,188</td>
<td>$6,745,774</td>
</tr>
</tbody>
</table>

**Suggestions:**

It was also mentioned that the final document would not have the reviewed document’s last sentence in the Summary Explanation/Background section. The analyst suggested that only part of the sentence be deleted: the anticipated date of the award should be kept in the summary.

---

**Analyst:** Katherine Burbridge

**Ok to Sign:** ☑

---

**Instructions/Checklist**

1. Upon receipt of a contract and notification in County Admin Tracking System (CATS) review the Agenda and Contract for language and accuracy. Make sure there are available funds, the dept is not overextending itself, was it planned, etc.
2. Complete the form above using the contract document and the County accounting & budgeting systems.
3. Use the “Description & Comments” section to give a brief summary of the contract and include your thoughts and pertinent information.
4. Print the form, initial, and leave folder on the Director’s desk.
5. Login to CATS and click in the cell next to your name. A date will appear and click on the date you completed your review. Choose save and close the CATS system.
**Application for Federal Assistance SF-424**

<table>
<thead>
<tr>
<th>1. Type of Submission:</th>
<th>2. Type of Application:</th>
<th>* If Revision, select appropriate letter(s):</th>
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<tr>
<td>□ Preapplication</td>
<td>□ New</td>
<td></td>
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<tr>
<td>□ Application</td>
<td>□ Continuation</td>
<td></td>
</tr>
<tr>
<td>□ Changed/Corrected Application</td>
<td>□ Revision</td>
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<thead>
<tr>
<th>3. Date Received:</th>
<th>4. Applicant Identifier:</th>
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<table>
<thead>
<tr>
<th>6. Date Received by State:</th>
<th>7. State Application Identifier:</th>
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<td>AEF32 / PM429612</td>
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**8. APPLICANT INFORMATION:**

<table>
<thead>
<tr>
<th>*a. Legal Name:</th>
<th>Pinellas County Board of County Commissioners</th>
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<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>*b. Employer/Taxpayer Identification Number (EIN/TIN):</th>
<th>*c. Organizational DUNS:</th>
</tr>
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<tbody>
<tr>
<td>59-6000800</td>
<td>0852002160000</td>
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<table>
<thead>
<tr>
<th>d. Address:</th>
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</thead>
<tbody>
<tr>
<td>*Street1: 315 Court Street</td>
</tr>
<tr>
<td>Street2:</td>
</tr>
<tr>
<td>*City: Clearwater</td>
</tr>
<tr>
<td>County/Parish:</td>
</tr>
<tr>
<td>*State: FL: Florida</td>
</tr>
<tr>
<td>Province:</td>
</tr>
<tr>
<td>*Country: USA: UNITED STATES</td>
</tr>
<tr>
<td>*Zip / Postal Code: 33756-5165</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>e. Organizational Unit:</th>
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<tbody>
<tr>
<td>Department Name: St. Pete-Clearwater Int'l Apt</td>
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<tr>
<td>Division Name: St. Pete-Clearwater Int'l Apt</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>f. Name and contact information of person to be contacted on matters involving this application:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prefix: Mr.</td>
</tr>
<tr>
<td>First Name: Thomas</td>
</tr>
<tr>
<td>Middle Name: R.</td>
</tr>
<tr>
<td>Last Name: Jewsbury</td>
</tr>
<tr>
<td>Suffix:</td>
</tr>
<tr>
<td>Title: Airport Director</td>
</tr>
<tr>
<td>Organizational Affiliation: The Airport is a department of Pinellas County Government</td>
</tr>
<tr>
<td>Telephone Number: 727 453-7801</td>
</tr>
<tr>
<td>Fax Number:</td>
</tr>
<tr>
<td>Email: <a href="mailto:jewsbury@fly2pie.com">jewsbury@fly2pie.com</a></td>
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<th>OMB Number: 4040-0004</th>
<th>Expiration Date: 8/31/2016</th>
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</table>
**Application for Federal Assistance SF-424**

* 9. Type of Applicant 1: Select Applicant Type:

   B: County Government

* 10. Name of Federal Agency:

   Federal Aviation Administration

11. Catalog of Federal Domestic Assistance Number:

   120-106

   CFDA Title:

   Airport Improvement Program

* 12. Funding Opportunity Number:

   Not Applicable

   * Title:

   N/A

13. Competition Identification Number:

   Not Applicable

14. Areas Affected by Project (Cities, Counties, States, etc.):

   [Add Attachment] [Delete Attachment] [View Attachment]

* 15. Descriptive Title of Applicant’s Project:

   Terminal Apron Hardstand Expansion Phase 2 (Design & Construction)

Attach supporting documents as specified in agency instructions.

   [Add Attachments] [Delete Attachments] [View Attachments]
Application for Federal Assistance SF-424

16. Congressional Districts Of:
* a. Applicant 10th
* b. Program/Project 10th

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:
* a. Start Date: 10/01/2014
* b. End Date: 03/31/2016

18. Estimated Funding ($) :

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td>a. Federal</td>
<td>5,745,002.00</td>
</tr>
<tr>
<td>b. Applicant</td>
<td>820,773.00</td>
</tr>
<tr>
<td>c. State</td>
<td>180,000.00</td>
</tr>
<tr>
<td>d. Local</td>
<td>0.00</td>
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<tr>
<td>e. Other</td>
<td>0.00</td>
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<tr>
<td>f. Program Income</td>
<td>0.00</td>
</tr>
<tr>
<td>g. TOTAL</td>
<td>6,745,775.00</td>
</tr>
</tbody>
</table>

19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- [ ] a. This application was made available to the State under the Executive Order 12372 Process for review on
- [ ] b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- [x] c. Program is not covered by E.O. 12372.

20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

- [ ] Yes
- [x] No

If "Yes", provide explanation and attach

21. "By signing this application, I certify (1) to the statements contained in the list of certifications* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

- [x] ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: Mr.  
* First Name: John
Middle Name:  
* Last Name: Moroni
Suffix:  
* Title: Chairman - Board of County Commissioners

Telephone Number: 727 453-7801  
Fax Number:  
* Email: jwsburyefly@2pie.com

* Signature of Authorized Representative:  
* Date Signed:  

Add Attachment Delete Attachment View Attachment
## PART III - BUDGET INFORMATION - CONSTRUCTION

### SECTION A - GENERAL

1. Federal Domestic Assistance Catalog No. 20-106
2. Functional or Other Breakout

### Airport Improvement Program

### SECTION B - CALCULATION OF FEDERAL GRANT

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<th>Cost Classification</th>
<th>Use only for revisions</th>
<th>Total Amount Required</th>
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<td>Latest Approved Amount</td>
<td>Adjustment + or (-)</td>
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<td>2. Preliminary expense</td>
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<td>3. Land, structures. right-of-way</td>
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<td>4. Architectural engineering basic fees</td>
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<td>5. Other engineering fees - Construction Administration</td>
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<td>$121,560.00</td>
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<td>6. Other engineering fees - Construction Management</td>
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<td>7. Land development</td>
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<td>8. Relocation expenses</td>
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<td>9. Relocation payments to Individuals and businesses</td>
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<td>10. Demolition and removal</td>
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<td>11. Construction and project improvement</td>
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<td>$5,788,488.00</td>
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<td>12. Equipment</td>
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<td>13. Miscellaneous</td>
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<td>14. Total (Line 1 through 13)</td>
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<td>15. Estimated Income (if applicable)</td>
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<td>16. Net Project Amount (Line 14 minus 15)</td>
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<td>17. Less: Construction Contingencies &amp; AIP Ineligible Items</td>
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<td>$276,188.00</td>
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<td>18. Add: Other</td>
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<td>19. Total Project Amt. (Excluding Rehabilitation Grants)</td>
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<td>20. Federal Share requested of Line 19</td>
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<td>21. Add Rehabilitation Grants Requested (100 Percent)</td>
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<td>22. Total Federal grant requested (Lines 20 &amp; 21)</td>
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<td>23. Grantee share</td>
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<tr>
<td>24. State FDOT Grant</td>
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<td>25. Total project (Lines 22, 23 &amp; 24)</td>
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### SECTION C - EXCLUSIONS

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<th>26. Classification</th>
<th>Ineligible for Participation (1)</th>
<th>Excluded from Contingency Provision (2)</th>
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<tr>
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<td>$</td>
<td>$</td>
</tr>
<tr>
<td>b.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>e.</td>
<td></td>
<td></td>
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<tr>
<td>f.</td>
<td></td>
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<tr>
<td>g.</td>
<td>$</td>
<td>$</td>
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### SECTION D - PROPOSED METHOD OF FINANCING NON-FEDERAL SHARE

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<th>27. Grantee Share</th>
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<tbody>
<tr>
<td>a. Securities</td>
<td>b. Mortgages</td>
<td>c. Appropriations (By Applicant)</td>
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<td></td>
<td>$820,772.00</td>
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<tr>
<td>d. Bonds</td>
<td>e. Tax Levies</td>
<td>f. Non Cash</td>
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<tr>
<td></td>
<td></td>
<td>g. Other (Explain)</td>
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<tr>
<td></td>
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<td>h. TOTAL -Grantee Share</td>
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<tr>
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<td>$820,772.00</td>
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<th>28. Other Shares</th>
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<tr>
<td>a. State</td>
<td>b. Other</td>
<td>c. Total Other Shares</td>
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<td>$180,000.00</td>
<td>$0.00</td>
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</tbody>
</table>

### SECTION E - REMARKS

AIP ineligible items - Hurricane tie-downs, SIDA fence obstruction lights, high mast obst. lights
APPROVED AS TO FORM
OFFICE OF COUNTY ATTORNEY

By

Attorney
ASSURANCES

Airport Sponsors

A. General.

1. These assurances shall be complied with in the performance of grant agreements for airport development, airport planning, and noise compatibility program grants for airport sponsors.

2. These assurances are required to be submitted as part of the project application by sponsors requesting funds under the provisions of Title 49, U.S.C., subtitle VII, as amended. As used herein, the term "public agency sponsor" means a public agency with control of a public-use airport; the term "private sponsor" means a private owner of a public-use airport; and the term "sponsor" includes both public agency sponsors and private sponsors.

3. Upon acceptance of this grant offer by the sponsor, these assurances are incorporated in and become part of this grant agreement.

B. Duration and Applicability.

1. Airport development or Noise Compatibility Program Projects Undertaken by a Public Agency Sponsor.

The terms, conditions and assurances of this grant agreement shall remain in full force and effect throughout the useful life of the facilities developed or equipment acquired for an airport development or noise compatibility program project, or throughout the useful life of the project items installed within a facility under a noise compatibility program project, but in any event not to exceed twenty (20) years from the date of acceptance of a grant offer of Federal funds for the project. However, there shall be no limit on the duration of the assurances regarding Exclusive Rights and Airport Revenue so long as the airport is used as an airport. There shall be no limit on the duration of the terms, conditions, and assurances with respect to real property acquired with federal funds. Furthermore, the duration of the Civil Rights assurance shall be specified in the assurances.

2. Airport Development or Noise Compatibility Projects Undertaken by a Private Sponsor.

The preceding paragraph 1 also applies to a private sponsor except that the useful life of project items installed within a facility or the useful life of the facilities developed or equipment acquired under an airport development or noise compatibility program project shall be no less than ten (10) years from the date of acceptance of Federal aid for the project.
3. **Airport Planning Undertaken by a Sponsor.**

Unless otherwise specified in this grant agreement, only Assurances 1, 2, 3, 5, 6, 13, 18, 25, 30, 32, 33, and 34 in Section C apply to planning projects. The terms, conditions, and assurances of this grant agreement shall remain in full force and effect during the life of the project; there shall be no limit on the duration of the assurances regarding Airport Revenue so long as the airport is used as an airport.

**C. Sponsor Certification.**

The sponsor hereby assures and certifies, with respect to this grant that:

1. **General Federal Requirements.**

It will comply with all applicable Federal laws, regulations, executive orders, policies, guidelines, and requirements as they relate to the application, acceptance and use of Federal funds for this project including but not limited to the following:

**Federal Legislation**

b. Davis-Bacon Act - 40 U.S.C. 276(a), et seq.¹
e. Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 Title 42 U.S.C. 4601, et seq.¹²
g. Archeological and Historic Preservation Act of 1974 - 16 U.S.C. 469 through 469c.¹
i. Clean Air Act, P.L. 90-148, as amended.
j. Coastal Zone Management Act, P.L. 93-205, as amended.
k. Flood Disaster Protection Act of 1973 - Section 102(a) - 42 U.S.C. 4012a.¹
l. Title 49, U.S.C., Section 303, (formerly known as Section 4(f))

n. Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252) (prohibits discrimination on the basis of race, color, national origin);
s. Power plant and Industrial Fuel Use Act of 1978 - Section 403- 2 U.S.C. 8373.¹
w. Wild and Scenic Rivers Act, P.L. 90-542, as amended.
z. The Federal Funding Accountability and Transparency Act of 2006, as amended

Executive Orders

a. Executive Order 11246 - Equal Employment Opportunity
b. Executive Order 11990 - Protection of Wetlands
c. Executive Order 11998 - Flood Plain Management
d. Executive Order 12372 - Intergovernmental Review of Federal Programs
e. Executive Order 12699 - Seismic Safety of Federal and Federally Assisted New
   Building Construction
f. Executive Order 12898 - Environmental Justice

Federal Regulations

a. 2 CFR Part 180 - OMB Guidelines to Agencies on Governmentwide Debarment
   and Suspension (Nonprocurement).
b. 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and
   Audit Requirements for Federal Awards. [OMB Circular A-87 Cost Principles
   Applicable to Grants and Contracts with State and Local Governments, and OMB
   Circular A-133 - Audits of States, Local Governments, and Non-Profit
   Organizations].
c. 2 CFR Part 1200 – Nonprocurement Suspension and Debarment
d. 14 CFR Part 13 - Investigative and Enforcement Procedures
e. 14 CFR Part 150 - Airport noise compatibility planning.
f. 28 CFR Part 35- Discrimination on the Basis of Disability in State and Local
   Government Services.
g. 28 CFR § 50.3 - U.S. Department of Justice Guidelines for Enforcement of Title
   VI of the Civil Rights Act of 1964.
i. 29 CFR Part 3 - Contractors and subcontractors on public building or public work
   financed in whole or part by loans or grants from the United States.
j. 29 CFR Part 5 - Labor standards provisions applicable to contracts covering
   federally financed and assisted construction (also labor standards provisions
   applicable to non-construction contracts subject to the Contract Work Hours and
   Safety Standards Act).
k. 41 CFR Part 60 - Office of Federal Contract Compliance Programs, Equal
   Employment Opportunity, Department of Labor (Federal and federally assisted
   contracting requirements).
l. 49 CFR Part 18 - Uniform administrative requirements for grants and cooperative
   agreements to state and local governments.
m. 49 CFR Part 20 - New restrictions on lobbying.
n. 49 CFR Part 21 – Nondiscrimination in federally-assisted programs of the
   Department of Transportation - effectuation of Title VI of the Civil Rights Act of
   1964.
o. 49 CFR Part 23 - Participation by Disadvantage Business Enterprise in Airport
   Concessions.
p. 49 CFR Part 24 – Uniform Relocation Assistance and Real Property Acquisition for Federal and Federally Assisted Programs.\(^1\)\(^2\)
q. 49 CFR Part 26 – Participation by Disadvantaged Business Enterprises in Department of Transportation Programs.
r. 49 CFR Part 27 – Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving or Benefiting from Federal Financial Assistance.\(^3\)
s. 49 CFR Part 28 – Enforcement of Nondiscrimination on the Basis of Handicap in Programs or Activities conducted by the Department of Transportation.
t. 49 CFR Part 30 - Denial of public works contracts to suppliers of goods and services of countries that deny procurement market access to U.S. contractors.
u. 49 CFR Part 32 – Governmentwide Requirements for Drug-Free Workplace (Financial Assistance)
v. 49 CFR Part 37 – Transportation Services for Individuals with Disabilities (ADA).
w. 49 CFR Part 41 - Seismic safety of Federal and federally assisted or regulated new building construction.

**Specific Assurances**

Specific assurances required to be included in grant agreements by any of the above laws, regulations or circulars are incorporated by reference in this grant agreement.

**Footnotes to Assurance C.1.**

1. These laws do not apply to airport planning sponsors.
2. These laws do not apply to private sponsors.
3. 49 CFR Part 18 and 2 CFR Part 200 contain requirements for State and Local Governments receiving Federal assistance. Any requirement levied upon State and Local Governments by this regulation and circular shall also be applicable to private sponsors receiving Federal assistance under Title 49, United States Code.
4. On December 26, 2013 at 78 FR 78590, the Office of Management and Budget (OMB) issued the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR Part 200. 2 CFR Part 200 replaces and combines the former Uniform Administrative Requirements for Grants (OMB Circular A-102 and Circular A-110 or 2 CFR Part 215 or Circular) as well as the Cost Principles (Circulars A-21 or 2 CFR part 220; Circular A-87 or 2 CFR part 225; and A-122, 2 CFR part 230). Additionally it replaces Circular A-133 guidance on the Single Annual Audit. In accordance with 2 CFR section 200.110, the standards set forth in Part 200 which affect administration of Federal awards issued by Federal agencies become effective once implemented by Federal agencies or when any future amendment to this Part becomes final. Federal agencies, including the Department of Transportation, must implement the policies and procedures applicable to Federal awards by promulgating a regulation to be effective by December 26, 2014 unless different provisions are required by statute or approved by OMB.
Cost principles established in 2 CFR part 200 subpart E must be used as guidelines for determining the eligibility of specific types of expenses.

Audit requirements established in 2 CFR part 200 subpart F are the guidelines for audits.

2. Responsibility and Authority of the Sponsor.
   a. Public Agency Sponsor:
      It has legal authority to apply for this grant, and to finance and carry out the proposed project; that a resolution, motion or similar action has been duly adopted or passed as an official act of the applicant's governing body authorizing the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the person identified as the official representative of the applicant to act in connection with the application and to provide such additional information as may be required.
   b. Private Sponsor:
      It has legal authority to apply for this grant and to finance and carry out the proposed project and comply with all terms, conditions, and assurances of this grant agreement. It shall designate an official representative and shall in writing direct and authorize that person to file this application, including all understandings and assurances contained therein; to act in connection with this application; and to provide such additional information as may be required.

   It has sufficient funds available for that portion of the project costs which are not to be paid by the United States. It has sufficient funds available to assure operation and maintenance of items funded under this grant agreement which it will own or control.

4. Good Title.
   a. It, a public agency or the Federal government, holds good title, satisfactory to the Secretary, to the landing area of the airport or site thereof, or will give assurance satisfactory to the Secretary that good title will be acquired.
   b. For noise compatibility program projects to be carried out on the property of the sponsor, it holds good title satisfactory to the Secretary to that portion of the property upon which Federal funds will be expended or will give assurance to the Secretary that good title will be obtained.

   a. It will not take or permit any action which would operate to deprive it of any of the rights and powers necessary to perform any or all of the terms, conditions, and assurances in this grant agreement without the written approval of the Secretary, and will act promptly to acquire, extinguish or modify any outstanding rights or claims of right of others which would interfere with such performance by the sponsor. This shall be done in a manner acceptable to the Secretary.
b. It will not sell, lease, encumber, or otherwise transfer or dispose of any part of its
title or other interests in the property shown on Exhibit A to this application or,
for a noise compatibility program project, that portion of the property upon which
Federal funds have been expended, for the duration of the terms, conditions, and
assurances in this grant agreement without approval by the Secretary. If the
transferee is found by the Secretary to be eligible under Title 49, United States
Code, to assume the obligations of this grant agreement and to have the power,
authority, and financial resources to carry out all such obligations, the sponsor
shall insert in the contract or document transferring or disposing of the sponsor’s
interest, and make binding upon the transferee all of the terms, conditions, and
assurances contained in this grant agreement.

c. For all noise compatibility program projects which are to be carried out by
another unit of local government or are on property owned by a unit of local
government other than the sponsor, it will enter into an agreement with that
government. Except as otherwise specified by the Secretary, that agreement shall
obligate that government to the same terms, conditions, and assurances that would
be applicable to it if it applied directly to the FAA for a grant to undertake the
noise compatibility program project. That agreement and changes thereto must be
satisfactory to the Secretary. It will take steps to enforce this agreement against
the local government if there is substantial non-compliance with the terms of the
agreement.

d. For noise compatibility program projects to be carried out on privately owned
property, it will enter into an agreement with the owner of that property which
includes provisions specified by the Secretary. It will take steps to enforce this
agreement against the property owner whenever there is substantial non-
compliance with the terms of the agreement.

e. If the sponsor is a private sponsor, it will take steps satisfactory to the Secretary to
ensure that the airport will continue to function as a public-use airport in
accordance with these assurances for the duration of these assurances.

f. If an arrangement is made for management and operation of the airport by any
agency or person other than the sponsor or an employee of the sponsor, the
sponsor will reserve sufficient rights and authority to insure that the airport will
be operated and maintained in accordance Title 49, United States Code, the
regulations and the terms, conditions and assurances in this grant agreement and
shall insure that such arrangement also requires compliance therewith.

g. Sponsors of commercial service airports will not permit or enter into any
arrangement that results in permission for the owner or tenant of a property used
as a residence, or zoned for residential use, to taxi an aircraft between that
property and any location on airport. Sponsors of general aviation airports
entering into any arrangement that results in permission for the owner of
residential real property adjacent to or near the airport must comply with the
requirements of Sec. 136 of Public Law 112-95 and the sponsor assurances.
6. **Consistency with Local Plans.**

The project is reasonably consistent with plans (existing at the time of submission of this application) of public agencies that are authorized by the State in which the project is located to plan for the development of the area surrounding the airport.

7. **Consideration of Local Interest.**

It has given fair consideration to the interest of communities in or near where the project may be located.

8. **Consultation with Users.**

In making a decision to undertake any airport development project under Title 49, United States Code, it has undertaken reasonable consultations with affected parties using the airport at which project is proposed.

9. **Public Hearings.**

In projects involving the location of an airport, an airport runway, or a major runway extension, it has afforded the opportunity for public hearings for the purpose of considering the economic, social, and environmental effects of the airport or runway location and its consistency with goals and objectives of such planning as has been carried out by the community and it shall, when requested by the Secretary, submit a copy of the transcript of such hearings to the Secretary. Further, for such projects, it has on its management board either voting representation from the communities where the project is located or has advised the communities that they have the right to petition the Secretary concerning a proposed project.

10. **Metropolitan Planning Organization.**

In projects involving the location of an airport, an airport runway, or a major runway extension at a medium or large hub airport, the sponsor has made available to and has provided upon request to the metropolitan planning organization in the area in which the airport is located, if any, a copy of the proposed amendment to the airport layout plan to depict the project and a copy of any airport master plan in which the project is described or depicted.

11. **Pavement Preventive Maintenance.**

With respect to a project approved after January 1, 1995, for the replacement or reconstruction of pavement at the airport, it assures or certifies that it has implemented an effective airport pavement maintenance-management program and it assures that it will use such program for the useful life of any pavement constructed, reconstructed or repaired with Federal financial assistance at the airport. It will provide such reports on pavement condition and pavement management programs as the Secretary determines may be useful.

12. **Terminal Development Prerequisites.**

For projects which include terminal development at a public use airport, as defined in Title 49, it has, on the date of submittal of the project grant application, all the safety equipment required for certification of such airport under section 44706 of Title 49, United States Code, and all the security equipment required by rule or regulation, and
has provided for access to the passenger enplaning and deplaning area of such airport to passengers enplaning and deplaning from aircraft other than air carrier aircraft.

13. **Accounting System, Audit, and Record Keeping Requirements.**

a. It shall keep all project accounts and records which fully disclose the amount and disposition by the recipient of the proceeds of this grant, the total cost of the project in connection with which this grant is given or used, and the amount or nature of that portion of the cost of the project supplied by other sources, and such other financial records pertinent to the project. The accounts and records shall be kept in accordance with an accounting system that will facilitate an effective audit in accordance with the Single Audit Act of 1984.

b. It shall make available to the Secretary and the Comptroller General of the United States, or any of their duly authorized representatives, for the purpose of audit and examination, any books, documents, papers, and records of the recipient that are pertinent to this grant. The Secretary may require that an appropriate audit be conducted by a recipient. In any case in which an independent audit is made of the accounts of a sponsor relating to the disposition of the proceeds of a grant or relating to the project in connection with which this grant was given or used, it shall file a certified copy of such audit with the Comptroller General of the United States not later than six (6) months following the close of the fiscal year for which the audit was made.

14. **Minimum Wage Rates.**

It shall include, in all contracts in excess of $2,000 for work on any projects funded under this grant agreement which involve labor, provisions establishing minimum rates of wages, to be predetermined by the Secretary of Labor, in accordance with the Davis-Bacon Act, as amended (40 U.S.C. 276a-276a-5), which contractors shall pay to skilled and unskilled labor, and such minimum rates shall be stated in the invitation for bids and shall be included in proposals or bids for the work.

15. **Veteran's Preference.**

It shall include in all contracts for work on any project funded under this grant agreement which involve labor, such provisions as are necessary to insure that, in the employment of labor (except in executive, administrative, and supervisory positions), preference shall be given to Vietnam era veterans, Persian Gulf veterans, Afghanistan-Iraq war veterans, disabled veterans, and small business concerns owned and controlled by disabled veterans as defined in Section 47112 of Title 49, United States Code. However, this preference shall apply only where the individuals are available and qualified to perform the work to which the employment relates.

16. **Conformity to Plans and Specifications.**

It will execute the project subject to plans, specifications, and schedules approved by the Secretary. Such plans, specifications, and schedules shall be submitted to the Secretary prior to commencement of site preparation, construction, or other performance under this grant agreement, and, upon approval of the Secretary, shall be incorporated into this grant agreement. Any modification to the approved plans.
specifications, and schedules shall also be subject to approval of the Secretary, and incorporated into this grant agreement.

17. **Construction Inspection and Approval.**

It will provide and maintain competent technical supervision at the construction site throughout the project to assure that the work conforms to the plans, specifications, and schedules approved by the Secretary for the project. It shall subject the construction work on any project contained in an approved project application to inspection and approval by the Secretary and such work shall be in accordance with regulations and procedures prescribed by the Secretary. Such regulations and procedures shall require such cost and progress reporting by the sponsor or sponsors of such project as the Secretary shall deem necessary.

18. **Planning Projects.**

In carrying out planning projects:

a. It will execute the project in accordance with the approved program narrative contained in the project application or with the modifications similarly approved.

b. It will furnish the Secretary with such periodic reports as required pertaining to the planning project and planning work activities.

c. It will include in all published material prepared in connection with the planning project a notice that the material was prepared under a grant provided by the United States.

d. It will make such material available for examination by the public, and agrees that no material prepared with funds under this project shall be subject to copyright in the United States or any other country.

e. It will give the Secretary unrestricted authority to publish, disclose, distribute, and otherwise use any of the material prepared in connection with this grant.

f. It will grant the Secretary the right to disapprove the sponsor's employment of specific consultants and their subcontractors to do all or any part of this project as well as the right to disapprove the proposed scope and cost of professional services.

g. It will grant the Secretary the right to disapprove the use of the sponsor's employees to do all or any part of the project.

h. It understands and agrees that the Secretary's approval of this project grant or the Secretary's approval of any planning material developed as part of this grant does not constitute or imply any assurance or commitment on the part of the Secretary to approve any pending or future application for a Federal airport grant.

19. **Operation and Maintenance.**

a. The airport and all facilities which are necessary to serve the aeronautical users of the airport, other than facilities owned or controlled by the United States, shall be operated at all times in a safe and serviceable condition and in accordance with the minimum standards as may be required or prescribed by applicable Federal,
state and local agencies for maintenance and operation. It will not cause or permit any activity or action thereon which would interfere with its use for airport purposes. It will suitably operate and maintain the airport and all facilities thereon or connected therewith, with due regard to climatic and flood conditions. Any proposal to temporarily close the airport for non-aeronautical purposes must first be approved by the Secretary. In furtherance of this assurance, the sponsor will have in effect arrangements for-

1) Operating the airport's aeronautical facilities whenever required;
2) Promptly marking and lighting hazards resulting from airport conditions, including temporary conditions; and
3) Promptly notifying airmen of any condition affecting aeronautical use of the airport. Nothing contained herein shall be construed to require that the airport be operated for aeronautical use during temporary periods when snow, flood or other climatic conditions interfere with such operation and maintenance. Further, nothing herein shall be construed as requiring the maintenance, repair, restoration, or replacement of any structure or facility which is substantially damaged or destroyed due to an act of God or other condition or circumstance beyond the control of the sponsor.

b. It will suitably operate and maintain noise compatibility program items that it owns or controls upon which Federal funds have been expended.


It will take appropriate action to assure that such terminal airspace as is required to protect instrument and visual operations to the airport (including established minimum flight altitudes) will be adequately cleared and protected by removing, lowering, relocating, marking, or lighting or otherwise mitigating existing airport hazards and by preventing the establishment or creation of future airport hazards.

21. Compatible Land Use.

It will take appropriate action, to the extent reasonable, including the adoption of zoning laws, to restrict the use of land adjacent to or in the immediate vicinity of the airport to activities and purposes compatible with normal airport operations, including landing and takeoff of aircraft. In addition, if the project is for noise compatibility program implementation, it will not cause or permit any change in land use, within its jurisdiction, that will reduce its compatibility, with respect to the airport, of the noise compatibility program measures upon which Federal funds have been expended.

22. Economic Nondiscrimination.

a. It will make the airport available as an airport for public use on reasonable terms and without unjust discrimination to all types, kinds and classes of aeronautical activities, including commercial aeronautical activities offering services to the public at the airport.

b. In any agreement, contract, lease, or other arrangement under which a right or privilege at the airport is granted to any person, firm, or corporation to conduct or
to engage in any aeronautical activity for furnishing services to the public at the airport, the sponsor will insert and enforce provisions requiring the contractor to-

1) furnish said services on a reasonable, and not unjustly discriminatory, basis to all users thereof; and

2) charge reasonable, and not unjustly discriminatory, prices for each unit or service, provided that the contractor may be allowed to make reasonable and nondiscriminatory discounts, rebates, or other similar types of price reductions to volume purchasers.

c. Each fixed-based operator at the airport shall be subject to the same rates, fees, rentals, and other charges as are uniformly applicable to all other fixed-based operators making the same or similar uses of such airport and utilizing the same or similar facilities.

d. Each air carrier using such airport shall have the right to service itself or to use any fixed-based operator that is authorized or permitted by the airport to serve any air carrier at such airport.

e. Each air carrier using such airport (whether as a tenant, non-tenant, or subtenant of another air carrier tenant) shall be subject to such nondiscriminatory and substantially comparable rules, regulations, conditions, rates, fees, rentals, and other charges with respect to facilities directly and substantially related to providing air transportation as are applicable to all such air carriers which make similar use of such airport and utilize similar facilities, subject to reasonable classifications such as tenants or non-tenants and signatory carriers and non-signatory carriers. Classification or status as tenant or signatory shall not be unreasonably withheld by any airport provided an air carrier assumes obligations substantially similar to those already imposed on air carriers in such classification or status.

f. It will not exercise or grant any right or privilege which operates to prevent any person, firm, or corporation operating aircraft on the airport from performing any services on its own aircraft with its own employees [including, but not limited to maintenance, repair, and fueling] that it may choose to perform.

g. In the event the sponsor itself exercises any of the rights and privileges referred to in this assurance, the services involved will be provided on the same conditions as would apply to the furnishing of such services by commercial aeronautical service providers authorized by the sponsor under these provisions.

h. The sponsor may establish such reasonable, and not unjustly discriminatory, conditions to be met by all users of the airport as may be necessary for the safe and efficient operation of the airport.

i. The sponsor may prohibit or limit any given type, kind or class of aeronautical use of the airport if such action is necessary for the safe operation of the airport or necessary to serve the civil aviation needs of the public.
23. Exclusive Rights.

It will permit no exclusive right for the use of the airport by any person providing, or intending to provide, aeronautical services to the public. For purposes of this paragraph, the providing of the services at an airport by a single fixed-based operator shall not be construed as an exclusive right if both of the following apply:

a. It would be unreasonably costly, burdensome, or impractical for more than one fixed-based operator to provide such services, and

b. If allowing more than one fixed-based operator to provide such services would require the reduction of space leased pursuant to an existing agreement between such single fixed-based operator and such airport. It further agrees that it will not, either directly or indirectly, grant or permit any person, firm, or corporation, the exclusive right at the airport to conduct any aeronautical activities, including, but not limited to charter flights, pilot training, aircraft rental and sightseeing, aerial photography, crop dusting, aerial advertising and surveying, air carrier operations, aircraft sales and services, sale of aviation petroleum products whether or not conducted in conjunction with other aeronautical activity, repair and maintenance of aircraft, sale of aircraft parts, and any other activities which because of their direct relationship to the operation of aircraft can be regarded as an aeronautical activity, and that it will terminate any exclusive right to conduct an aeronautical activity now existing at such an airport before the grant of any assistance under Title 49, United States Code.

24. Fee and Rental Structure.

It will maintain a fee and rental structure for the facilities and services at the airport which will make the airport as self-sustaining as possible under the circumstances existing at the particular airport, taking into account such factors as the volume of traffic and economy of collection. No part of the Federal share of an airport development, airport planning or noise compatibility project for which a grant is made under Title 49, United States Code, the Airport and Airway Improvement Act of 1982, the Federal Airport Act or the Airport and Airway Development Act of 1970 shall be included in the rate basis in establishing fees, rates, and charges for users of that airport.

25. Airport Revenues.

a. All revenues generated by the airport and any local taxes on aviation fuel established after December 30, 1987, will be expended by it for the capital or operating costs of the airport; the local airport system; or other local facilities which are owned or operated by the owner or operator of the airport and which are directly and substantially related to the actual air transportation of passengers or property; or for noise mitigation purposes on or off the airport. The following exceptions apply to this paragraph:

1) If covenants or assurances in debt obligations issued before September 3, 1982, by the owner or operator of the airport, or provisions enacted before September 3, 1982, in governing statutes controlling the owner or operator’s financing, provide for the use of the revenues from any of the airport owner or
operator's facilities, including the airport, to support not only the airport but also the airport owner or operator's general debt obligations or other facilities, then this limitation on the use of all revenues generated by the airport (and, in the case of a public airport, local taxes on aviation fuel) shall not apply.

2) If the Secretary approves the sale of a privately owned airport to a public sponsor and provides funding for any portion of the public sponsor's acquisition of land, this limitation on the use of all revenues generated by the sale shall not apply to certain proceeds from the sale. This is conditioned on repayment to the Secretary by the private owner of an amount equal to the remaining unamortized portion (amortized over a 20-year period) of any airport improvement grant made to the private owner for any purpose other than land acquisition on or after October 1, 1996, plus an amount equal to the federal share of the current fair market value of any land acquired with an airport improvement grant made to that airport on or after October 1, 1996.

3) Certain revenue derived from or generated by mineral extraction, production, lease, or other means at a general aviation airport (as defined at Section 47102 of title 49 United States Code), if the FAA determines the airport sponsor meets the requirements set forth in Sec. 813 of Public Law 112-95.

b. As part of the annual audit required under the Single Audit Act of 1984, the sponsor will direct that the audit will review, and the resulting audit report will provide an opinion concerning, the use of airport revenue and taxes in paragraph (a), and indicating whether funds paid or transferred to the owner or operator are paid or transferred in a manner consistent with Title 49, United States Code and any other applicable provision of law, including any regulation promulgated by the Secretary or Administrator.

c. Any civil penalties or other sanctions will be imposed for violation of this assurance in accordance with the provisions of Section 47107 of Title 49, United States Code.

26. Reports and Inspections.

It will:

a. submit to the Secretary such annual or special financial and operations reports as the Secretary may reasonably request and make such reports available to the public; make available to the public at reasonable times and places a report of the airport budget in a format prescribed by the Secretary;

b. for airport development projects, make the airport and all airport records and documents affecting the airport, including deeds, leases, operation and use agreements, regulations and other instruments, available for inspection by any duly authorized agent of the Secretary upon reasonable request;

c. for noise compatibility program projects, make records and documents relating to the project and continued compliance with the terms, conditions, and assurances of this grant agreement including deeds, leases, agreements, regulations, and other instruments, available for inspection by any duly authorized agent of the Secretary upon reasonable request; and
d. in a format and time prescribed by the Secretary, provide to the Secretary and make available to the public following each of its fiscal years, an annual report listing in detail:

1) all amounts paid by the airport to any other unit of government and the purposes for which each such payment was made; and

2) all services and property provided by the airport to other units of government and the amount of compensation received for provision of each such service and property.

27. Use by Government Aircraft.

It will make available all of the facilities of the airport developed with Federal financial assistance and all those usable for landing and takeoff of aircraft to the United States for use by Government aircraft in common with other aircraft at all times without charge, except, if the use by Government aircraft is substantial, charge may be made for a reasonable share, proportional to such use, for the cost of operating and maintaining the facilities used. Unless otherwise determined by the Secretary, or otherwise agreed to by the sponsor and the using agency, substantial use of an airport by Government aircraft will be considered to exist when operations of such aircraft are in excess of those which, in the opinion of the Secretary, would unduly interfere with use of the landing areas by other authorized aircraft, or during any calendar month that —

a. Five (5) or more Government aircraft are regularly based at the airport or on land adjacent thereto; or

b. The total number of movements (counting each landing as a movement) of Government aircraft is 300 or more, or the gross accumulative weight of Government aircraft using the airport (the total movement of Government aircraft multiplied by gross weights of such aircraft) is in excess of five million pounds.


It will furnish without cost to the Federal Government for use in connection with any air traffic control or air navigation activities, or weather-reporting and communication activities related to air traffic control, any areas of land or water, or estate therein, or rights in buildings of the sponsor as the Secretary considers necessary or desirable for construction, operation, and maintenance at Federal expense of space or facilities for such purposes. Such areas or any portion thereof will be made available as provided herein within four months after receipt of a written request from the Secretary.


a. It will keep up to date at all times an airport layout plan of the airport showing

1) boundaries of the airport and all proposed additions thereto, together with the boundaries of all offsite areas owned or controlled by the sponsor for airport purposes and proposed additions thereto;

2) the location and nature of all existing and proposed airport facilities and structures (such as runways, taxiways, aprons, terminal buildings, hangars and
roads), including all proposed extensions and reductions of existing airport facilities;

3) the location of all existing and proposed nonaviation areas and of all existing improvements thereon; and

4) all proposed and existing access points used to taxi aircraft across the airport’s property boundary. Such airport layout plans and each amendment, revision, or modification thereof, shall be subject to the approval of the Secretary which approval shall be evidenced by the signature of a duly authorized representative of the Secretary on the face of the airport layout plan. The sponsor will not make or permit any changes or alterations in the airport or any of its facilities which are not in conformity with the airport layout plan as approved by the Secretary and which might, in the opinion of the Secretary, adversely affect the safety, utility or efficiency of the airport.

b. If a change or alteration in the airport or the facilities is made which the Secretary determines adversely affects the safety, utility, or efficiency of any federally owned, leased, or funded property on or off the airport and which is not in conformity with the airport layout plan as approved by the Secretary, the owner or operator will, if requested, by the Secretary (1) eliminate such adverse effect in a manner approved by the Secretary; or (2) bear all costs of relocating such property (or replacement thereof) to a site acceptable to the Secretary and all costs of restoring such property (or replacement thereof) to the level of safety, utility, efficiency, and cost of operation existing before the unapproved change in the airport or its facilities except in the case of a relocation or replacement of an existing airport facility due to a change in the Secretary’s design standards beyond the control of the airport sponsor.

30. Civil Rights.

It will promptly take any measures necessary to ensure that no person in the United States shall, on the grounds of race, creed, color, national origin, sex, age, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination in any activity conducted with, or benefiting from, funds received from this grant.

a. Using the definitions of activity, facility and program as found and defined in §§ 21.23 (b) and 21.23 (e) of 49 CFR § 21, the sponsor will facilitate all programs, operate all facilities, or conduct all programs in compliance with all nondiscrimination requirements imposed by, or pursuant to these assurances.

b. Applicability

1) Programs and Activities. If the sponsor has received a grant (or other federal assistance) for any of the sponsor’s program or activities, these requirements extend to all of the sponsor’s programs and activities.

2) Facilities. Where it receives a grant or other federal financial assistance to construct, expand, renovate, remodel, alter or acquire a facility, or part of a facility, the assurance extends to the entire facility and facilities operated in connection therewith.
3) Real Property. Where the sponsor receives a grant or other Federal financial assistance in the form of, or for the acquisition of real property or an interest in real property, the assurance will extend to rights to space on, over, or under such property.

c. Duration.

The sponsor agrees that it is obligated to this assurance for the period during which Federal financial assistance is extended to the program, except where the Federal financial assistance is to provide, or is in the form of, personal property, or real property, or interest therein, or structures or improvements thereon, in which case the assurance obligates the sponsor, or any transferee for the longer of the following periods:

1) So long as the airport is used as an airport, or for another purpose involving the provision of similar services or benefits; or

2) So long as the sponsor retains ownership or possession of the property.

d. Required Solicitation Language. It will include the following notification in all solicitations for bids, Requests For Proposals for work, or material under this grant agreement and in all proposals for agreements, including airport concessions, regardless of funding source:

"The [Name of Sponsor], in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252, 42 U.S.C. §§ 2000d to 2000d-4) and the Regulations, hereby notifies all bidders that it will affirmatively ensure that any contract entered into pursuant to this advertisement, disadvantaged business enterprises and airport concession disadvantaged business enterprises will be afforded full and fair opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, or national origin in consideration for an award."


1) It will insert the non-discrimination contract clauses requiring compliance with the acts and regulations relative to non-discrimination in Federally-assisted programs of the DOT, and incorporating the acts and regulations into the contracts by reference in every contract or agreement subject to the non-discrimination in Federally-assisted programs of the DOT acts and regulations.

2) It will include a list of the pertinent non-discrimination authorities in every contract that is subject to the non-discrimination acts and regulations.

3) It will insert non-discrimination contract clauses as a covenant running with the land, in any deed from the United States effecting or recording a transfer of real property, structures, use, or improvements thereon or interest therein to a sponsor.

4) It will insert non-discrimination contract clauses prohibiting discrimination on the basis of race, color, national origin, creed, sex, age, or handicap as a
covenant running with the land, in any future deeds, leases, license, permits, or similar instruments entered into by the sponsor with other parties:

a) For the subsequent transfer of real property acquired or improved under the applicable activity, project, or program; and

b) For the construction or use of, or access to, space on, over, or under real property acquired or improved under the applicable activity, project, or program.

f. It will provide for such methods of administration for the program as are found by the Secretary to give reasonable guarantee that it, other recipients, sub-recipients, sub-grantees, contractors, subcontractors, consultants, transferees, successors in interest, and other participants of Federal financial assistance under such program will comply with all requirements imposed or pursuant to the acts, the regulations, and this assurance.

g. It agrees that the United States has a right to seek judicial enforcement with regard to any matter arising under the acts, the regulations, and this assurance.


a. For land purchased under a grant for airport noise compatibility purposes, including land serving as a noise buffer, it will dispose of the land, when the land is no longer needed for such purposes, at fair market value, at the earliest practicable time. That portion of the proceeds of such disposition which is proportionate to the United States' share of acquisition of such land will be, at the discretion of the Secretary, (1) reinvested in another project at the airport, or (2) transferred to another eligible airport as prescribed by the Secretary. The Secretary shall give preference to the following, in descending order, (1) reinvestment in an approved noise compatibility project, (2) reinvestment in an approved project that is eligible for grant funding under Section 47117(e) of title 49 United States Code, (3) reinvestment in an approved airport development project that is eligible for grant funding under Sections 47114, 47115, or 47117 of title 49 United States Code, (4) transferred to an eligible sponsor of another public airport to be reinvested in an approved noise compatibility project at that airport, and (5) paid to the Secretary for deposit in the Airport and Airway Trust Fund. If land acquired under a grant for noise compatibility purposes is leased at fair market value and consistent with noise buffering purposes, the lease will not be considered a disposal of the land. Revenues derived from such a lease may be used for an approved airport development project that would otherwise be eligible for grant funding or any permitted use of airport revenue.

b. For land purchased under a grant for airport development purposes (other than noise compatibility), it will, when the land is no longer needed for airport purposes, dispose of such land at fair market value or make available to the Secretary an amount equal to the United States' proportionate share of the fair market value of the land. That portion of the proceeds of such disposition which is proportionate to the United States' share of the cost of acquisition of such land will, (1) upon application to the Secretary, be reinvested or transferred to another
eligible airport as prescribed by the Secretary. The Secretary shall give preference to the following, in descending order: (1) reinvestment in an approved noise compatibility project, (2) reinvestment in an approved project that is eligible for grant funding under Section 47117(e) of title 49 United States Code, (3) reinvestment in an approved airport development project that is eligible for grant funding under Sections 47114, 47115, or 47117 of title 49 United States Code, (4) transferred to an eligible sponsor of another public airport to be reinvested in an approved noise compatibility project at that airport, and (5) paid to the Secretary for deposit in the Airport and Airway Trust Fund.

c. Land shall be considered to be needed for airport purposes under this assurance if (1) it may be needed for aeronautical purposes (including runway protection zones) or serve as noise buffer land, and (2) the revenue from interim uses of such land contributes to the financial self-sufficiency of the airport. Further, land purchased with a grant received by an airport operator or owner before December 31, 1987, will be considered to be needed for airport purposes if the Secretary or Federal agency making such grant before December 31, 1987, was notified by the operator or owner of the uses of such land, did not object to such use, and the land continues to be used for that purpose, such use having commenced no later than December 15, 1989.

d. Disposition of such land under (a) (b) or (c) will be subject to the retention or reservation of any interest or right therein necessary to ensure that such land will only be used for purposes which are compatible with noise levels associated with operation of the airport.

32. **Engineering and Design Services.**

It will award each contract, or sub-contract for program management, construction management, planning studies, feasibility studies, architectural services, preliminary engineering, design, engineering, surveying, mapping or related services with respect to the project in the same manner as a contract for architectural and engineering services is negotiated under Title IX of the Federal Property and Administrative Services Act of 1949 or an equivalent qualifications-based requirement prescribed for or by the sponsor of the airport.

33. **Foreign Market Restrictions.**

It will not allow funds provided under this grant to be used to fund any project which uses any product or service of a foreign country during the period in which such foreign country is listed by the United States Trade Representative as denying fair and equitable market opportunities for products and suppliers of the United States in procurement and construction.

34. **Policies, Standards, and Specifications.**

It will carry out the project in accordance with policies, standards, and specifications approved by the Secretary including but not limited to the advisory circulars listed in the Current FAA Advisory Circulars for AIP projects, dated (the latest approved version as of this grant offer) and included in this grant, and in accordance
with applicable state policies, standards, and specifications approved by the Secretary.

35. Relocation and Real Property Acquisition.
   a. It will be guided in acquiring real property, to the greatest extent practicable under State law, by the land acquisition policies in Subpart B of 49 CFR Part 24 and will pay or reimburse property owners for necessary expenses as specified in Subpart B.
   b. It will provide a relocation assistance program offering the services described in Subpart C and fair and reasonable relocation payments and assistance to displaced persons as required in Subpart D and E of 49 CFR Part 24.
   c. It will make available within a reasonable period of time prior to displacement, comparable replacement dwellings to displaced persons in accordance with Subpart E of 49 CFR Part 24.

36. Access By Intercity Buses.
   The airport owner or operator will permit, to the maximum extent practicable, intercity buses or other modes of transportation to have access to the airport; however, it has no obligation to fund special facilities for intercity buses or for other modes of transportation.

   The sponsor shall not discriminate on the basis of race, color, national origin or sex in the award and performance of any DOT-assisted contract covered by 49 CFR Part 26, or in the award and performance of any concession activity contract covered by 49 CFR Part 23. In addition, the sponsor shall not discriminate on the basis of race, color, national origin or sex in the administration of its DBE and ACDBE programs or the requirements of 49 CFR Parts 23 and 26. The sponsor shall take all necessary and reasonable steps under 49 CFR Parts 23 and 26 to ensure nondiscrimination in the award and administration of DOT-assisted contracts, and/or concession contracts. The sponsor’s DBE and ACDBE programs, as required by 49 CFR Parts 26 and 23, and as approved by DOT, are incorporated by reference in this agreement. Implementation of these programs is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the sponsor of its failure to carry out its approved program, the Department may impose sanctions as provided for under Parts 26 and 23 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1936 (31 U.S.C. 3801).

38. Hangar Construction.
   If the airport owner or operator and a person who owns an aircraft agree that a hangar is to be constructed at the airport for the aircraft at the aircraft owner’s expense, the airport owner or operator will grant to the aircraft owner for the hangar a long term lease that is subject to such terms and conditions on the hangar as the airport owner or operator may impose.

a. If the airport owner or operator of a medium or large hub airport (as defined in section 47102 of title 49, U.S.C.) has been unable to accommodate one or more requests by an air carrier for access to gates or other facilities at that airport in order to allow the air carrier to provide service to the airport or to expand service at the airport, the airport owner or operator shall transmit a report to the Secretary that-

1) Describes the requests;

2) Provides an explanation as to why the requests could not be accommodated; and

3) Provides a time frame within which, if any, the airport will be able to accommodate the requests.

b. Such report shall be due on either February 1 or August 1 of each year if the airport has been unable to accommodate the request(s) in the six month period prior to the applicable due date.
MEMORANDUM

TO: Joseph Lauro
   Director, Purchasing Department

THROUGH: Tom Jewsbury, Airport Director

FROM: Scott Yarley, PE, Airport Engineer

DISTRIBUTION: Patti Fontaine, Procurement Analyst

SUBJECT: Recommendation of Bid No. 145-0193-CP(PF)
   Terminal Apron Hardstand Expansion – Phase 2

DATE: May 18, 2015

The Engineer of Record (AVCON), and the Airport Engineer have reviewed the subject bids for Bid No. 145-0193-CP(CF), and we recommend acceptance of the lowest responsive bid submitted by GLF Construction Corporation.

The total cost of the construction is $5,788,488.00 (which includes acceptance of the Base Bid, Base Bid Not-AIP-Eligible, Additive Alternate Bids No. 1, 2, and 3). This amount includes an Owner's Contingency amount of $200,000.00.

The work will be completed within two hundred and twenty-five (225) from the fifteen calendar days (15) of the Notice to Proceed date and any extension thereof.

Funding for this project will be through a combination of FAA and FDOT Grants, and Airport Reserves.

Should you have any questions, please contact me at your convenience.

Sincerely,

Scott A. Yarley, PE
Airport Engineer
May 8, 2015

Mr. Scott Yarley, P.E.
Airport Engineer
St. Pete-Clearwater International Airport
14700 Terminal Boulevard, Suite 221
Clearwater, FL 33762

Reference: Bid Summary and Recommendation of Award
Terminal Apron Hardstand Expansion Phase 2
St. Pete-Clearwater International Airport
145-0193-CP (PF)

Dear Mr. Yarley:

On May 7, 2015, the County received bids on the above-noted project. A total of three bids were submitted. The following is a tabulation of the three apparently conforming bids received:

<table>
<thead>
<tr>
<th>Contractor</th>
<th>Base Bid</th>
<th>Base Bid (Not AIP Eligible)</th>
<th>Additive Alternate No. 1</th>
<th>Additive Alternate No. 2</th>
<th>Additive Alternate No. 3</th>
<th>Total All Bids</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pepper Contracting Services, Inc.</td>
<td>$3,783,940.82</td>
<td>$230,185.17</td>
<td>$724,089.84</td>
<td>$731,104.30</td>
<td>$791,186.50</td>
<td>$6,260,506.63</td>
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<tr>
<td>David Nelson Construction Co.</td>
<td>$3,953,195.00</td>
<td>$236,600.00</td>
<td>$741,470.00</td>
<td>$748,000.00</td>
<td>$814,000.00</td>
<td>$6,493,265.00</td>
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<tr>
<td>GLF Construction Corp.</td>
<td>$3,404,654.00</td>
<td>$230,600.00</td>
<td>$684,908.00</td>
<td>$706,593.00</td>
<td>$761,733.00</td>
<td>$5,788,488.00</td>
</tr>
<tr>
<td>Engineer's Estimate</td>
<td>$3,282,014.50</td>
<td>$223,385.50</td>
<td>$715,900.00</td>
<td>$742,150.00</td>
<td>$808,150.00</td>
<td>$5,771,600.00</td>
</tr>
</tbody>
</table>

The apparent successful overall low bidder is GLF Construction Corporation. The difference between the Engineer's Estimate and the lowest bid is $16,888.00 (+0.3%).

All three bidders acknowledged the Addenda, as appropriate, and submitted the proper bid form. Also, no mathematical errors were present.
Based on our limited evaluation of the bids for this project, AVCON, Inc. believes that the bids appear to be responsive. AVCON recommends that the County check contractor references, contractor track record with County projects, verify the management and strength of insurances, ensure qualifications of proposed personnel, etc. Pending review and concurrence of Pinellas County’s Purchasing Department and Legal Counsel as to the acceptability of the submitted bids, AVCON, Inc. recommends award of the contract to the apparent low bidder of GLF Construction Corporation for the Base Bid plus all Additive Alternates in the amount of $5,788,488.00, subject to the availability of funding.

We stand ready to assist the Airport and the County in the implementation of this project. A copy of the complete Bid Tabulation is attached for your review.

Should you have any questions or require additional information, please do not hesitate to call.

Sincerely,

AVCON, INC.

Sandeep Singh, P.E.
Senior Project Manager

Attachment

c: Russ Holliday; File 2014 151 03
<table>
<thead>
<tr>
<th>Description</th>
<th>Engineer's Estimate</th>
<th>GLF Construction Corp.</th>
<th>Pepper Contracting Services, Inc.</th>
<th>David Nelson Constructing Co.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Base Bid - Gates 1A, 1, 7, and 8</td>
<td>$3,282,014.50</td>
<td>$3,404,654.00</td>
<td>$3,783,940.82</td>
<td>$3,953,195.00</td>
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<td>Base Bid - Gates 1A, 1, 7, and 8 (not AIP Eligible)</td>
<td>$223,385.50</td>
<td>$230,600.00</td>
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<td>Additive Alternate #1 - Gate 9</td>
<td>$715,900.00</td>
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<td>Additive Alternate #2 - Gate 10</td>
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<td>Total Bid (Base Bids + Additive Alternates 1-3)</td>
<td>$5,771,600.00</td>
<td>$5,788,488.00</td>
<td>$6,260,506.63</td>
<td>$6,493,265.00</td>
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### BASE BID - GATE 1A, 1, 7, AND 8

#### ENGINEER'S ESTIMATE

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<th>PO/Call</th>
<th>Item</th>
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<tr>
<td>M-105-1</td>
<td>Mobilization</td>
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<td>M-105-2</td>
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<td>M-105-4</td>
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#### GLF CONSTRUCTION CORP.

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<tr>
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<th>Item</th>
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<th>Unit Price</th>
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<tr>
<td>P-101-1</td>
<td>Full-Depth Shinniken Pavement Removal</td>
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<td>Full-Depth DPC Pavement Removal</td>
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#### PEPPER CONTRACTING

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<td>P-101-3</td>
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#### DAVID NELSON CONSTRUCTION CO.

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### ADDITIVE ALTERNATE #1 - GATE 9

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<th>UNIT PRICE</th>
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<tbody>
<tr>
<td>1</td>
<td>MC-105-B-1</td>
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<td>$20,000.00</td>
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### ADDITIVE ALTERNATE #1 - GATE 9

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### ADDITIVE ALTERNATE #2 - GATE 10

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### ADDITIVE ALTERNATE #3 - GATE 11

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**SUB-TOTAL ADDITIVE ALTERNATE #2 - GATE 10**

**SUB-TOTAL ADDITIVE ALTERNATE #3 - GATE 11**

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**SUB-TOTAL ALL BASE BIDS AND ALTERNATIVES**

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BF-3 of 3
St. Pete-Clearwater International Airport
Apron Hardstand – Phase 2

EXHIBIT B - PROJECT SKETCH
Yvette:

As was announced by your representative, the Federal Aviation Administration has approved an allocation of $5,745,002 under the 2015 Airport Improvement Program to the St. Pete – Clearwater International Airport for the Terminal Apron Hardstand Expansion project. As soon as we receive your grant application for $5,745,002, the FAA will issue the grant in as expeditious a manner as possible.

Jennifer Ganley, P.E.
Program Manager
Orlando Airports District Office
407-812-6331 ext. 126
NOTICE OF GRANT AWARD

Title: St Pete-Clearwater International: Rehabilitate Apron [Rehabilitate Terminal Apron]

DOT Agency Disbursing Funds: Federal Aviation Administration

Project Name/Number: St Pete-Clearwater International

Award Recipient: Pinellas County Board of Commissioners

City/Country/State: St Petersburg-Clearwater, Pinellas, FL

Place of Performance: St Pete-Clearwater International

State Congressional District: 13

Entitle Amount: $1,287,002.00

Discretionary Amount: $4,458,000.00

Total Grant Amount: $5,745,002.00

DOT Regional Office/Telephone Number: Bart Vernace (407)812-6331

Description:
Rehabilitate Apron [Rehabilitate Terminal Apron]

- This grant will provide federal funding for the St Pete-Clearwater International Airport in Florida.
- This project will fund a full reconstruction of 27,550 square yards of the existing terminal apron pavement that has reached the end of its useful life.
- St Pete-Clearwater International Airport is a small-hub primary airport associated with St Petersburg-Clearwater, Florida. The local point of contact is Mr. Noah Lagos, Airport Director. Mr. Noah Lagos may be reached at (727) 453-7800.
- Following this grant announcement, FAA will notify the sponsor of the allocation. A grant offer will be issued subsequently for this grant as finally formulated.

Should you have any questions, please contact the Office of Governmental Affairs (202)366-4573.